

SAI360

GLOBAL

CUSTOMERCONNECT TOUR 2025

Amsterdam • London • Munich • Dallas • Paris • Sydney



GRC Product Roadmap & Horizon Scanning Release



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GRC 2024



Migration from
VUE2 to VUE3



Conflict of Interest
solution

new



Thomson
Reuters, LexisNexis
and Compliance AI

new



Performance
Optimization for
Assessments



IT Risk Management
Enhancements



Report
Subscriptions
(Scheduling)

new



Privacy
Management
solution

new



Business Continuity
Enhancements



SAI360
(re)Branding

new



Office 365
integration

new



AI Features

new



Technical and
Functional Audit trail

new

Past Releases Highlights

PLATFORM RELEASES Q1 2025

- Unauthenticated Login
- Authorization on Dataset and Reports
- Multiple SCIM's
- Discussion Panel Tagging / Mentions
- AI-Service

PLATFORM RELEASE Q4 2024

- Performance improvements for assessments
- Discussion Panel for Assessments
- Assessment history (Audit trail)
- LexisNexis API (v1)
- Application Themes
- Change Log GRC Analytics

SOLUTION RELEASE 18

- Fully rebranded and re-brandable configurations
- Manage Email templates for Solution Administrators
- Platform UI and Feature Improvements
- Configuration Settings for Solution Admins

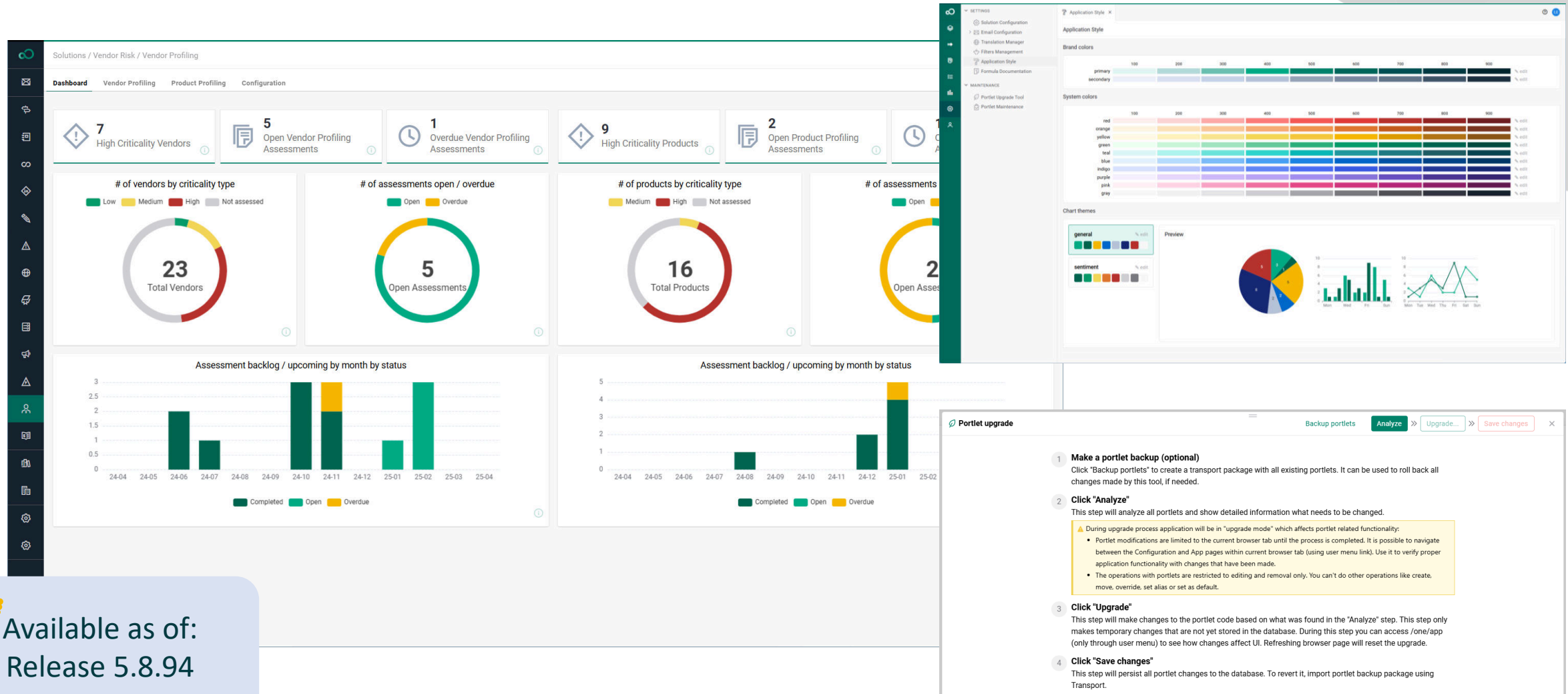
SOLUTION RELEASE 17

- Business Continuity Management
 - Recovery Steps Templates
 - Drag & Drop Data tables
- Enhanced Analytics
- Report scheduling capability added

Rebranded application customization

VUE3

SAI360



Available as of:
Release 5.8.94

Unauthenticated login

Welcome whistleblower

SAI360

My Incidents

Submit a Concern

Home

ABC Corporation Compliance Helpline

This website offers an easy and efficient way for you to anonymously ask questions and report concerns to the ABC Corporateion Compliance Team when encountering activities you think may be unlawful or unethical.

Your feedback is important to us

Submit a concern

Follow-up on submission

ABC Corporation welcomes all good faith reports and questions and does not tolerate retaliation of any kind. All concerns raised will be taken seriously, reviewed thoroughly and investigated confidentially.

ABC Corporation is committed to providing a safe environment for employees to speak up when you have concerns, and prohibits any form of retaliation (including harassment, intimidation, threats, coercion or other adverse employment action) against any employee who makes a good faith report. Any employee who is found to retaliate, in any way, against another employee will be subject to disciplinary action, up to and including termination.

Each and every employee plays a role in upholding our reputation as an ethical company. By speaking up, you help us to uphold our core values and contribute to our future success.

THANK YOU!

Login

If you have a user-account and wish to record new incidents and link them to the existing account login here:

Username

admin

Password

Login

Additional Resources

Additional resources can be found here


Request Info




Available as of:
Release 5.8.106

EXAMPLE USE CASE

Persons tagged are alerted in Notifications

 Misleading or Unauthorized Sales Practices

Overview Related Items Documents Change Log

Registrant  IM Incident Registrant 1 Date registered Jun 24, 2024

Status Analyze

Registration


Name * Misleading or Unauthorized 5 ID INC_2024_00003

Division in which it occurred Presales Date identified Jun 24, 2024

Priority * Moderate Date occurred Jun 21, 2024

Category

Evidence

Drop files to attach, or
 No uploaded files
[browse](#)

What happened and why *

On June 21, 2024, a customer complaint revealed that a sales representative was using misleading information to sell insurance policies, promising benefits that are not actually included.

Potential Impact:

- Customer Deception:** Customers receiving incorrect information.
- Legal Consequences:** Breach of consumer protection laws.
- Reputational Damage:** Erosion of trust in the company's sales practices.



Actions Taken:

A sales representative has been suspended pending investigation. A review of all sales interactions by the representative is underway. All potentially affected customers are being notified and offered correct information.

 @Gideon


 Gideon


No comments


Search ?  Home 

Compliance Campaign


19 hours ago

 You created "My IT Compliance Campaign" 19 hours ago

 You changed "My Internal Campaign" 19 hours ago

 You created "My Internal Campaign" 19 hours ago

THIS MONTH

 rmriskmanager1 mentioned you in a conversation about "Misleading or Unauthorized Sales Practices": "Gideon Please verify this incident" 4 days ago



Available as of:
Release 5.8.100

Drag and Drop improvements

The image displays two screenshots of the SAI360 interface, illustrating drag and drop improvements.

Left Screenshot: RHS - Local Risk - Canvas Portlet

This screenshot shows the design view of a canvas portlet. The interface includes a sidebar with components like Panel, Tabs Panel, Layout, Header, Portlet, Task List, Workflow steps, and Alert. The main area is divided into sections: General Information, Risk Drivers / Events, Controls / Measures, and Title. The General Information section contains fields for Name, Category, Risk Lifecycle, Owner, Reference risk, Code, Division, Risk Type, Key Risk, and Description. The Title section includes Impact and Likelihood ratings, Rationale, and a Section for Overall threat rating. A 'Layout' settings panel is visible on the right, showing options for Span, Height, Column, and Center.

Right Screenshot: SDSGInsight - workspace

This screenshot shows the configuration view of a workspace. The interface includes a sidebar with components like Overview, Insights, Heatmap, Entity Search, Risk Events, Risk Scores, Change Log, Prime, and MAARTEN DEV (DO NOT REMOVE). The main area displays a heatmap visualization with a color scale from Lower to Higher. A 'Tab configuration' dialog box is open, showing options for Tab identifier, Label key, Portlet, Visible for, and workspaceContext.

Artificial Intelligence

AI Service integration

Ai Service
An artificial intelligence service uses machine learning and data processing to automate tasks and generate insights for businesses.
Active AI: OpenAI ChatGpt
Enabled: true

Configuration

Provider for AI services

CHATGPT

Api key for ChatGPT

Model for ChatGPT

GPT_4O_MINI

Sampling temperature between 0 and 2. Higher values like 0.8 will make the output more random, while lower values like 0.2 will make it more focused and deterministic.

1

Amazon Bedrock access key

value

Amazon Bedrock secret access key

Amazon Bedrock region id

eu-central-1

Messages

CHATGPT : 2025-05-19T19:47:47.636Z : Succesfully connected to OpenAI ChatGpt

Ai Service
An artificial intelligence service uses machine learning and data processing to automate tasks and generate insights for

● RUNNING

● RUNNING



Available as of:
Release 5.8.102

Today / Home

Ask AI

Ask questions to your personalized GRC AI Assistant

Home

My Elements

My Inbox

Activity Log

Ask Personalized AI Assistant

LH

Type a new message, using @example@email.com to include addressees by email

Ask AI

Leo Hagenberg 21:48

LH

What are my top 3 local risks based on residual risk rating with the highest residual likelihood? What are the recommended remediation steps for these risks to lower the probability of materializing and the financial (and possible other) impact.

AI 21:48

Based on the provided data, the top 3 local risks with the highest residual likelihood are:

- Escalating Product Safety and Recall Exposure**
 - Likelihood Rating:** Possible
 - Recommended Remediation Steps:**
 - Enhance quality assurance processes to identify potential defects early.
 - Implement comprehensive training for staff on compliance and safety standards.
 - Establish a robust stakeholder communication plan to manage public and regulatory relations.
- Product Recalls**
 - Likelihood Rating:** Unlikely
 - Recommended Remediation Steps:**
 - Strengthen quality control measures throughout the production process.
 - Establish traceability systems to quickly identify affected products.
 - Develop and test a recall response plan to ensure swift remediation.
- Enhanced Regulatory Scrutiny**
 - Likelihood Rating:** Active
 - Recommended Remediation Steps:**
 - Regularly review and update dispute resolution processes to ensure compliance with regulations.
 - Conduct training for employees on regulatory requirements and consumer protection laws.
 - Implement a compliance monitoring system to track adherence to relevant regulations.

Generated by SAI360

Prompt library

Top 3 Risks with recommended remediation steps

company

33 times used

Summarize my controls & percentage of effective controls

company

22 times used

Show my open findings including AI generated potential remediation steps

company

14 times used

Provide the risk category with the most high & very high rated risks

company

14 times used

Show my open actions including AI generated potential remediation steps

company

10 times used

Risk and Policy Correlation Analysis for Effective Management

company

5 times used

Intelligent risk analysis and identification

The screenshot displays the SAI360 user interface. On the left is a dark sidebar with navigation options: TODAY (My Inbox, Employee Portal), SOLUTIONS (Conflicts of Interest, My COI Disclosures, Register, Campaigns, Dashboards and Reports, Configurations), Project Risk Management, Business Continuity, Incident, Internal Audit, Internal Control, IT Risk, Policy, Data Privacy, Regulatory Change, Risk, Vendor Risk, Obligations Management, and TRAINING (Authoring). The main content area shows a form titled 'DIS_2024_000011 - Financial Interests'. The form includes fields for Code (DIS_2024_000011), Registrant (Anton Lissone), Type (Financial Interests), Revoke Update, Update (Provide evidence of purchase), End Date, and Details. The Details section contains several questions about investments and financial interests, with some answers provided. An AI chat window is overlaid on the right side of the form, showing a conversation between Anton Lissone and the AI. The chat messages are as follows:

- Anton Lissone Oct 24, 2024: Type a new message, using @example@email.com to include addressees by email
- Anton Lissone Oct 24, 2024: At what value of owning competitor shares do generally companies consider it a serious conflict of interest?
- AI Oct 24, 2024: Companies generally consider owning a significant stake in a competitor as a serious conflict of interest. While the exact threshold can vary, owning 5% or more of competitor shares is often seen as substantial enough to warrant concern and further scrutiny. (Generated by SAI360)
- Anton Lissone Oct 24, 2024: When were the shares purchase? what value and what ticker?
- AI Oct 24, 2024: The shares were purchased on October 23, 2011, with a total purchase amount of USD 125,000, and the stock ticker is NASDAQ: AAPL. (Generated by SAI360)
- Anton Lissone Oct 23, 2024: What actions could i take to mitigate this risk?
- AI Oct 23, 2024: To mitigate the risk of conflict of interest due to financial interests, you could take the following actions:
 - Divestment:** Consider divesting from or reducing financial interests in the business that conflicts with your role.
 - Disclosure:** Ensure full disclosure of the financial interest to relevant parties and stakeholders.
 - Recusal:** Recuse yourself from decision-making processes or situations that involve the business or services in question.

The chat window has 'Update' and 'Cancel' buttons at the bottom. In the background, a table with columns 'UPDATED' and 'ACTIONS' is visible, showing dates 10/24/2024 and 10/22/2024.

 **US eyes ban on TP-Link routers amid cybersecurity concerns**

[Overview](#) [Change Log](#)

General Information

| | |
|------|----------------------|
| Code | REN_2024_00000000085 |
|------|----------------------|

| | |
|--------|--|
| Name * | US eyes ban on TP-Link routers amid cybersecurity concerns |
|--------|--|

Create Date Dec 19, 2024

Description



Exploit sensitive information to serve as entry points for corporate espionage, DDoS attacks on enterprise systems, and the interception of

The US government is investigating TP-Link, a Chinese company that supplies about 65% of routers for American homes and small businesses, amid concerns about national security risks. Reports suggest these routers have vulnerabilities that cybercriminals exploit to compromise sensitive enterprise data.

Investigations by the Commerce, Defense, and Justice Departments indicate that the routers may have been exploited in cyberattacks, raising alarms for individual users and enterprises relying on secure networks to support hybrid workforces.

AI Application translation

The screenshot displays the SAI360 Translation Manager interface. On the left is a sidebar with 'SETTINGS' and 'MAINTENANCE' sections. The main area shows a table of identifiers with columns for English, French, Portuguese, and Swedish. A modal window is open for the identifier 'assessments.AttestationIC_InControlStatement.label', showing the English text 'Attestation IC - In Control Statement' and empty input fields for the other languages. The modal includes 'Translate', 'Save', and 'Cancel' buttons.

SETTINGS

- Global Configuration
- Solution Configuration
- Email Configuration
- Translation Manager
- Function Library
- Filters Management
- File Management
- Database Browser
- Event Scheduler
- Control Schedule Triggers
- Application Style
- Formula Documentation

MAINTENANCE

- Transport
- Data Cleanup Jobs
- Logging
- Portlet Upgrade Tool
- Portlet Maintenance

Translation Manager

All

Search...

| IDENTIFIER | ENGLISH | FRENCH | PORTUGUESE | SWEDISH |
|--|---------------------------------------|--------|------------|---------|
| assessments.AttestationIC_InControlSta | Attestation IC - In Control Statement | | | |
| assessments.AttestationSox_LegalEntity | Attestation Sox - Legal Entity | | | |
| assessments.AttestationSox_Organizati | Attestation Sox - Organization | | | |
| assessments.AuditAssess | | | | |
| assessments.AuditAssess | | | | |
| assessments.AuditableEn | | | | |
| assessments.COI.label | | | | |
| assessments.COI_Attesta | | | | |
| assessments.ControlAsse | | | | |
| assessments.CrisisEvent.label | Crisis Event - Plan Activation | | | |
| assessments.FrameworkSignOff.label | Framework Sign Off | | | |
| assessments.ITControlAssessment.labe | IT Control Assessment | | | |
| assessments.ITRM_BottomUpAssessme | ITRM Bottom Up Assessment | | | |
| assessments.ITRM_ComplianceAssessn | ITRM Compliance Assessment | | | |
| assessments.ITRM_ITControlAssessmer | ITRM - IT Control Assessment | | | |

27002 items

assessments.AttestationIC_InControlStatement.label Translate

English: Attestation IC - In Control Statement

French:

Portuguese:

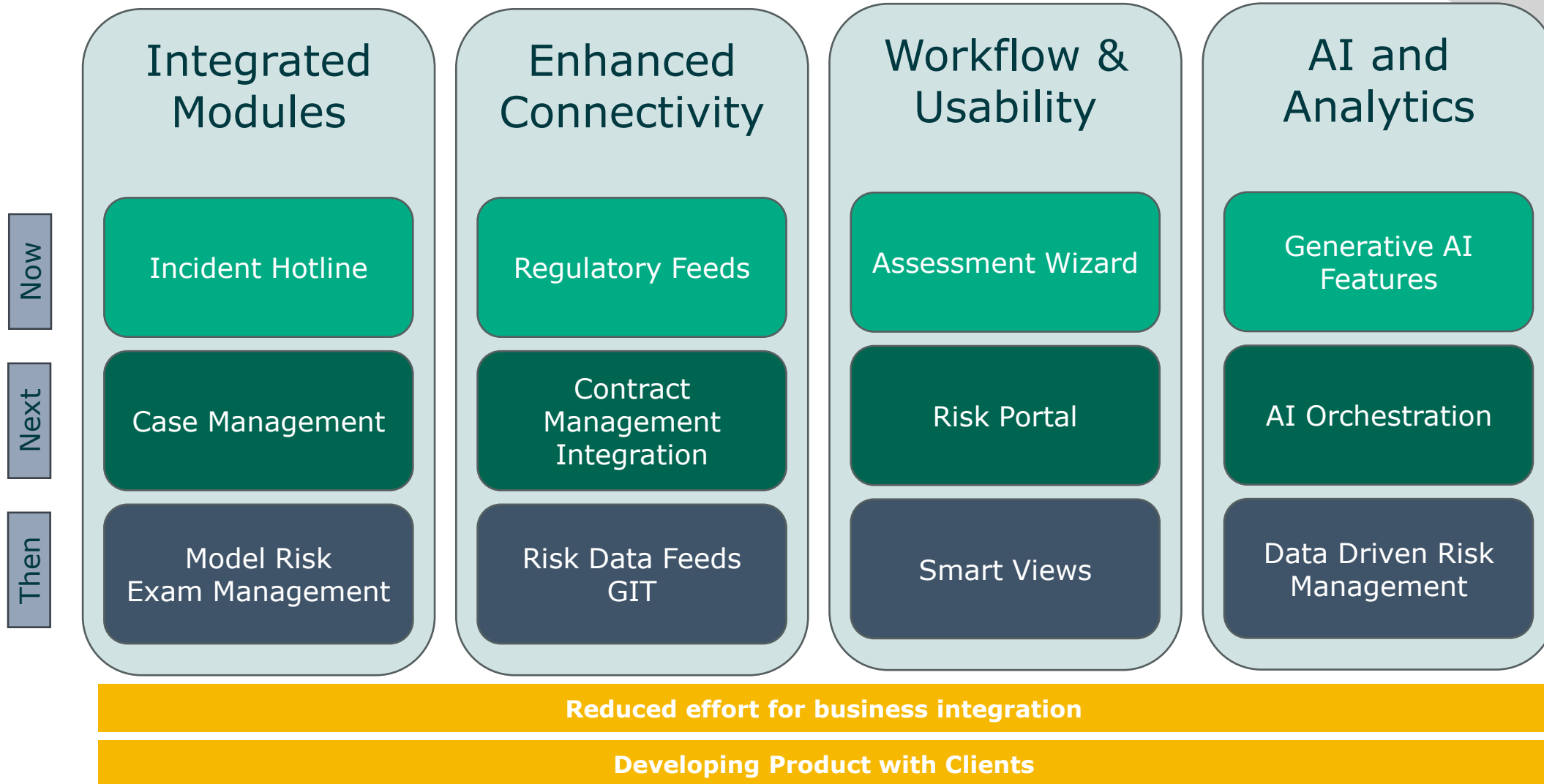
Swedish:

Save Cancel

Sample AI use cases

- Analyze and review process risk control framework
- Smart controls recommendations
- Ask your AI Assistant
- Document Handling
 - Unstructured to structured
 - Draft and rewrite
 - Analyze and Assess
 - Summarize
- Auto Complete

Now, Next, Then



User Experience

Prevent users having to switch to different tool sets
(Investigations)

🏠 Hi, Vaidas

Here's an overview of your day. Let's get started.

Home My Elements My Inbox Activity Log

Stay Vigilant! Emerging Risks Detected!

Recent analysis highlights a surge in vendor-related risks. Ensure your assessments are up-to-date to mitigate potential impacts.



🔔 Notifications

TODAY

VA You changed "Disclosure Campaign for year 2024_2" 2 hours ago

OLDER

GL Gideon added a role in your user profile as "Readers" on "Actions" 25 days ago

GL Gideon added a role in your user profile as "Readers" on "Incidents" 26 days ago

BC BCMSolutionAdmin Soladmin added a role in your user profile as "Readers" on "IT Recovery Plans & Infrastructure" 27 days ago

🔗 My Elements

📄 AS2142_My_Assessment Assessment

👤 V124124_My_Vendor Vendor

👤 V214_My_Vendor Vendor

🔗 A214124_My_Action Action

📄 AS2142_My_Assessment Assessment

📄 C1242_My_Control Control

🔗 A214124_My_Action Action

🔗 A214124_My_Action Action

📄 Find a Policy

📄 Draft a New Policy

🔗 Register a Disclosure

🔗 Report an Incident

🌐 Assess IT Risks

👤 Review Vendor Contracts

👤 Review Vendor Contracts

📧 Inbox

📄 Risk Assessment - Financial Impact 30 Jun 2024 ⚠️

📄 Risk Category Rollup Assessment - Finan... 30 Jun 2024 ⚠️

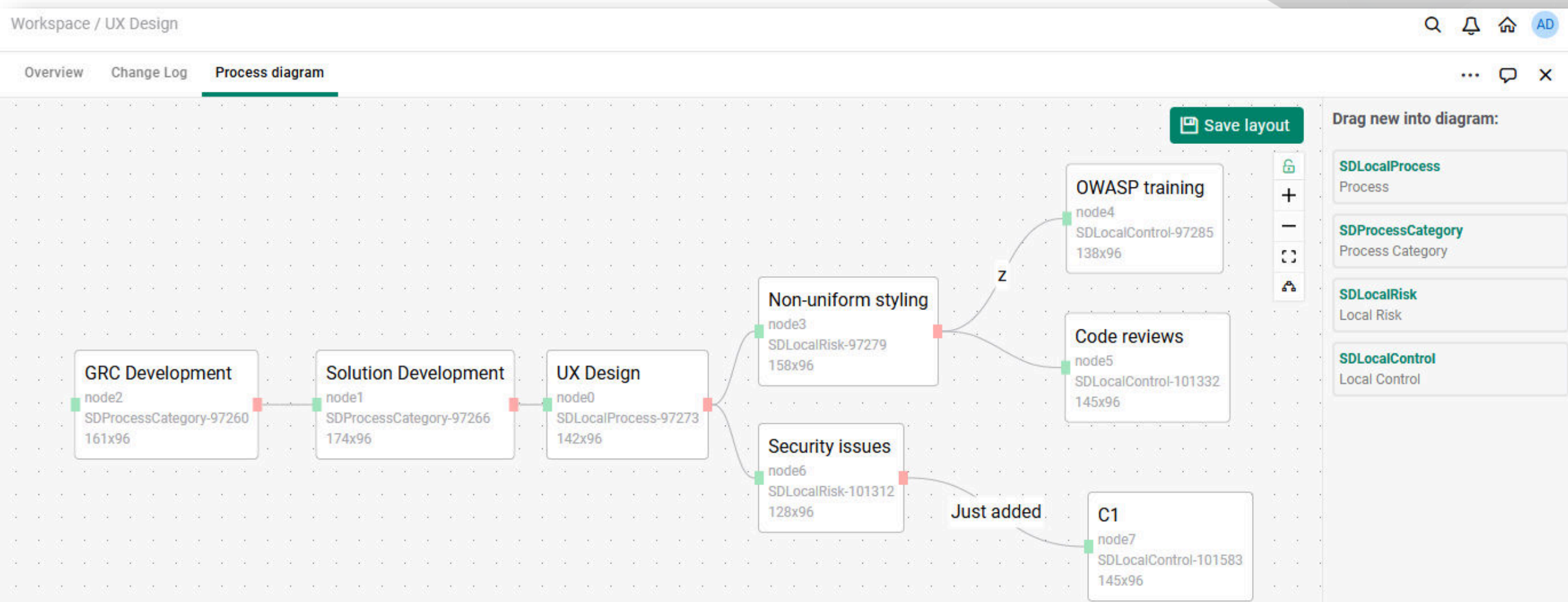
📄 Risk Assessment - Financial Impact 30 Jun 2024 ⚠️

📄 Conflict of Interest - Disclosure Assessment 30 Jun 2024 ⚠️

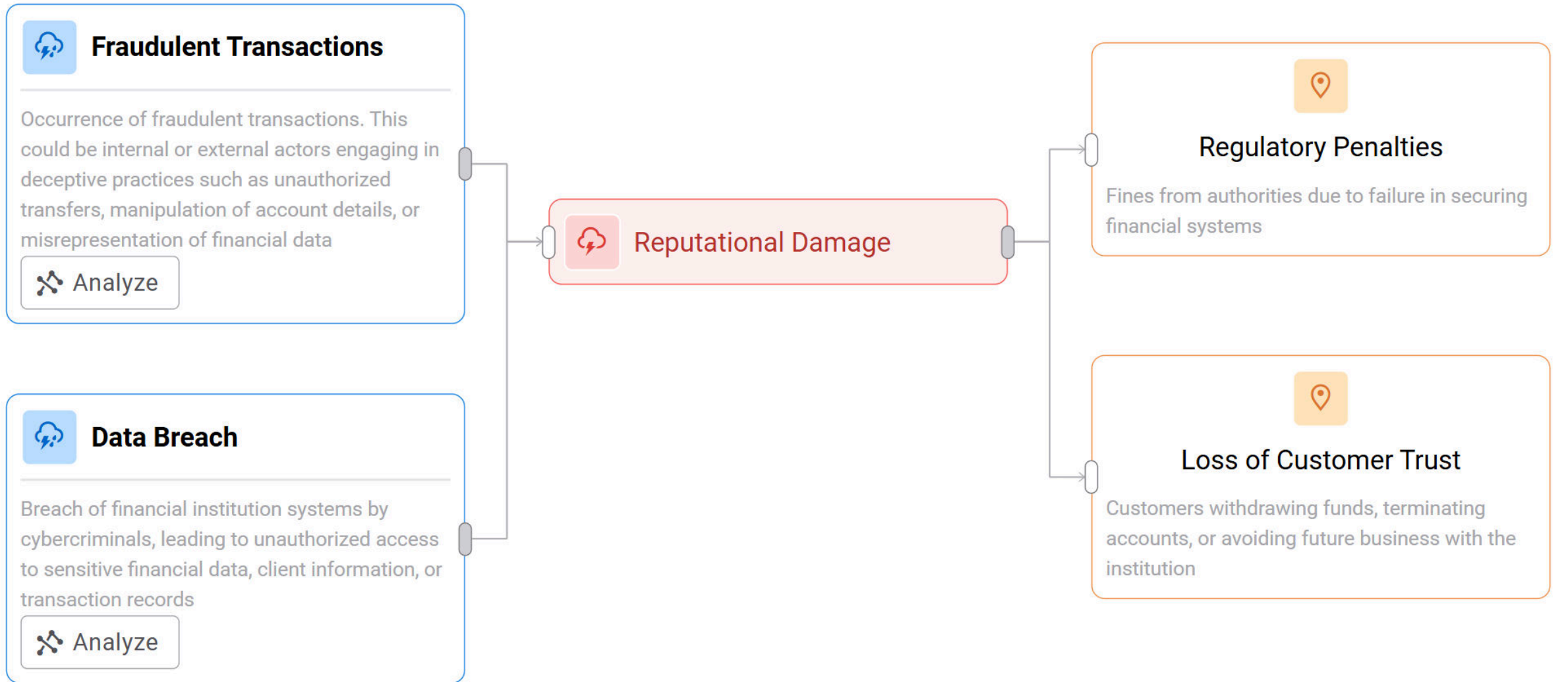
📄 Risk Assessment - Financial Impact

📄 Risk Category Rollup Assessment - Finan...

Investigations: Diagramming



Investigations: Diagramming



Investigation: Document Annotation

SAI360

TODAY

My Inbox

SOLUTIONS

Conflicts of Interest

Project Risk Management

Business Continuity

Incident

Internal Audit

Internal Control

Dashboards

Program Guide

Divisions

Framework

Scoping

Control Assessments

Issues

Attestations

Reports

IT Risk

Policy

Data Privacy

Regulatory Change

Risk

Vendor Risk

Obligations Management

LEARNING

Disclosure

REPORTS

All Reports

Solutions / Internal Control / Issues

Open Issues Closed Issues Open Actions

NAME

AI license expired

Vilnius office

1 items

License upgrade guide

General Annotations (0)

Name *

License upgrade guide

Version

Version 2

Filename

Add PDF functionality with Vue.pdf 393.18 KB

Description

-

Creation date

Mar 19, 2025

Expiration Date

Mar 19, 2026

Author

-

Accountable

-

Category

-

Status

-

Code

-

Keywords

-

Document Type

-

Save annotations

Page 4 4/5

```
... WE ALSO NEED TO SET THE CONTAINER HEIGHT AND WIDTH ...  
    .container {  
      width: 100vw;  
      height: 100vh;  
    }  
  }  
}
```

3/5

https://www.nutrient.io/sdk/web/getting-started/vue/

3/19/25, 2:06 PM

Add PDF functionality with Vue

2 Start the development server:

npm pnpm yarn

npm run dev

3 You should see the PDF rendered in the Nutrient Web SDK UI.

Next steps

Further steps to set up your project.

TypeScript with

Nutrient Web SDK comes with built-in support for TypeScript. This should work out of the box for local installation. For the CDN installation follow these steps:

1 Add the Nutrient Web SDK dependency, if not done previously. You need the package to be installed locally in order to reference the types:

npm pnpm yarn

npm i @nutrient-sdk/viewer

2 Create a module for custom typings (say global.d.ts in the src directory) to reference the built-in typings for the SDK:

All Divisions

Search

+ Issue

STATUS

FLAGGED

EVALUATE

Reporting

it's about what you do with it

Fully Rebranded – Continued focus on data driven experiences

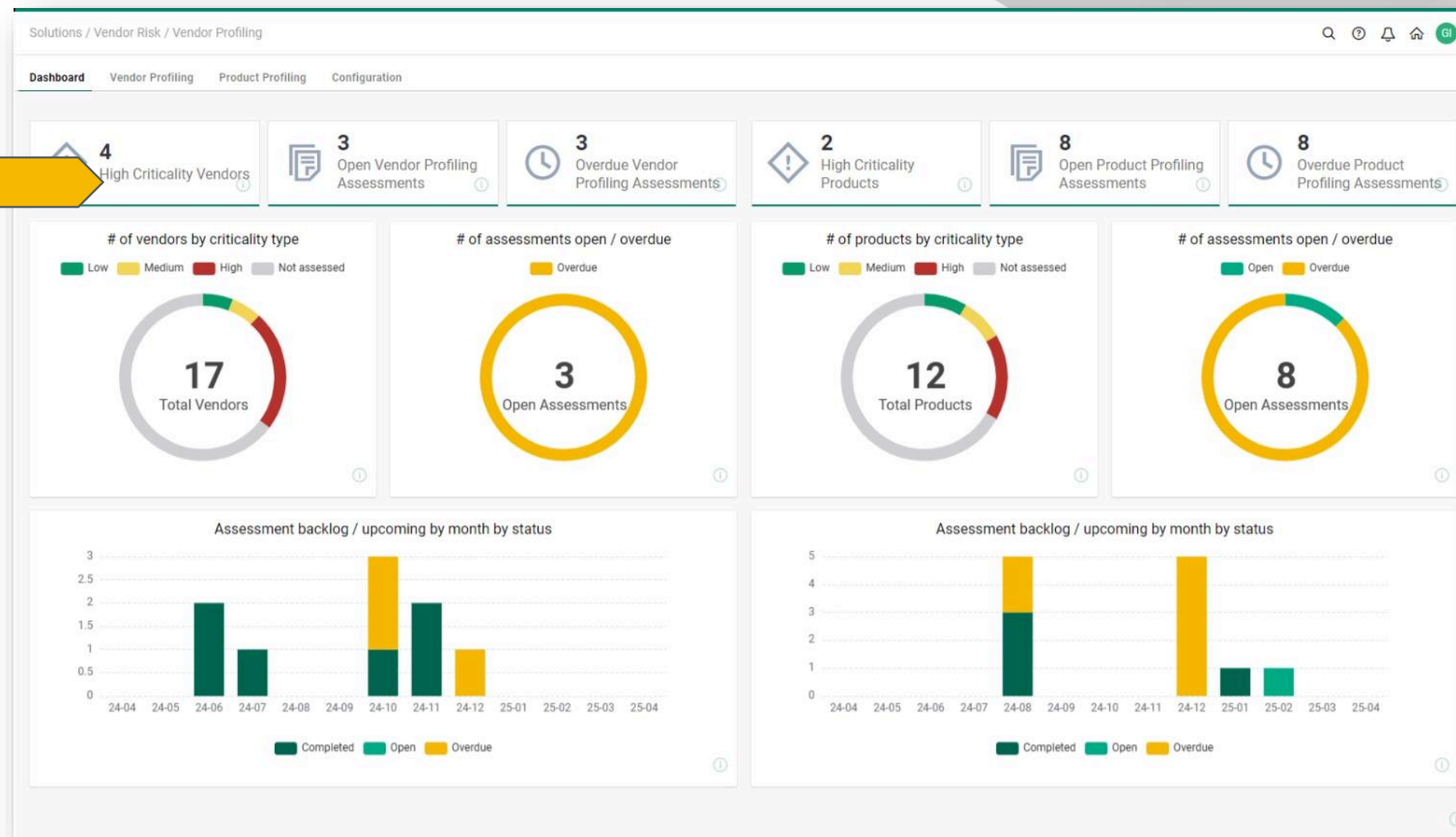
Reporting Options

In Application

PowerBI

Extraction Reports

Report Generation



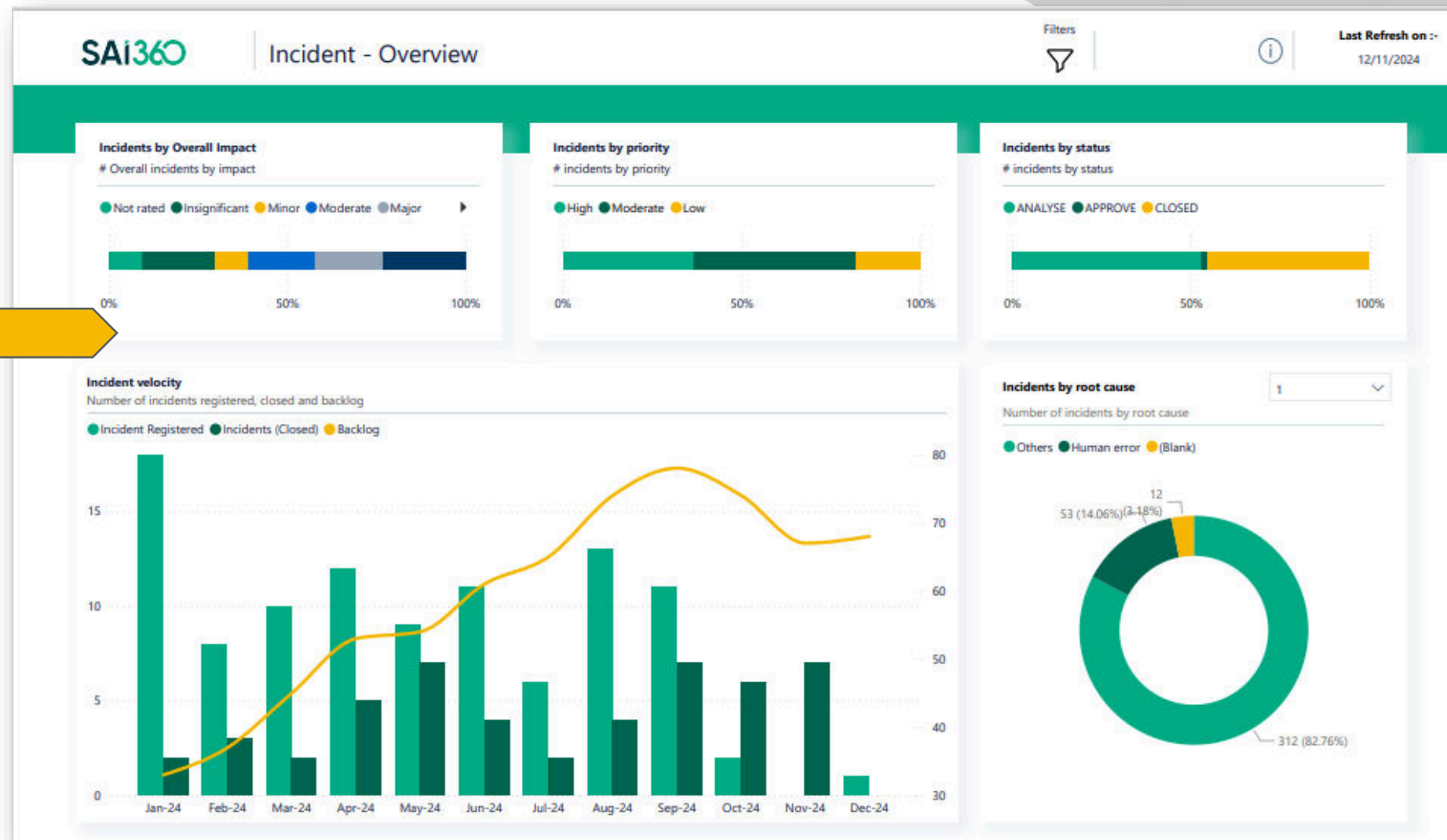
Fully Rebranded – Focus on expanding standard dashboards

Reporting Options

In Application

PowerBI

Extraction Reports

Report Generation
Generation

Drag & Drop - Extraction Reports

Reporting Options

In Application

PowerBI

Extraction Reports

Report Generation

Reports / All Reports

Filters

Load Save As...

GRC Extraction Report Business Continuity

| NAME | TYPE | SOLUTION |
|------------------------------------|-----------------------|---------------------|
| IT Recovery Plans & Infrastructure | GRC Extraction Report | Business Continuity |
| Business Impact Analysis | GRC Extraction Report | Business Continuity |
| Enterprise RTO | GRC Extraction Report | Business Continuity |
| Operation Blueprints | GRC Extraction Report | Business Continuity |

Workspace / Risk Assessment

Report Overview Change Log

BCM - Risk Assessment

| DIVISION | RISK | RISK DESCRIPTION | EXPERIENCED | LIKELIHOOD | THREAT RATING | LIKELIHOOD RATIONALE | IMPACT RATING | IMPACT RATIONALE | CONTROL |
|------------------------|-----------------------------|---|-------------|------------|---------------|---|---------------|--|-----------------------------|
| Accounting | Incorrect payment | Incorrect account, invoice 0 | | Rare | Very Low | | Insignificant | | Payments are automatch |
| Channel Partners | Performance Risk | Underperformance: If a pi Limited Expertise: Partner | 2 | Rare | Very Low | | Insignificant | | |
| Finance | Bad debts | Bad debts | 0 | Unlikely | Medium | | Moderate | | Aging and completeness |
| Finance | Bills are paid before due c | Bills are paid before due c | 0 | Likely | Medium | | Minor | | Release is checked at the R |
| Human Resources | Human resource records : | Human resource records : | 1 | Likely | High | HR records are frequently Many organizations may l | Major | A breach of HR records c The organization may fac | Personnel accessing hum P |
| Human Resources | Lack of awareness of enti | HR personnel may lack up | 0 | Possible | High | Rapid changes in workfor Organizations that do not | Major | Inefficient allocation of re Missed opportunities for | Potential candidates are s |
| IT | Non Compliance with Dat | | 0 | Possible | High | | Catastrophic | | |
| IT | Unauthorized Access to s | | 0 | Possible | Medium | | Moderate | | |
| IT | Unauthorized access to s | Unauthorized Access IT R | 2 | Possible | High | The likelihood is rated as | Major | The impact is rated as H | |
| SAI360 Offshore Team | Telephone Failure | RISKS: Communication Disruptio Operational Impact: Inahi | 5 | Likely | High | Experienced frequent Lin | Moderate | Moderate impact during b | Alternative Communicat |
| Technology and Innovat | Data Loss of Customer | Data Loss of Customer | 10 | Possible | High | Sample | Major | Sample | |
| Technology and Innovat | Poor Performance | Poor Performance | 2 | Likely | Very High | Sample | Catastrophic | Sample | |

12 items

In Application

PowerBI

Extraction Reports

Report Generation

Reports / All Reports

Filters

Load

Save As...

Document Report

Solution

NAME

Risk Report

Finding Detail

Findings for Audit

Division Control Assessment Results

TYPE

Document Report

Document Report

Document Report

Document Report

SOLUTION

Risk

All Solutions

Internal Audit

Internal Control

...

...

...

...

Search

+ Report Template

Workspace / IT Control Framework (Policy Based)


Report Overview Change Log

Trust Service Principles

Generated on: Mar 30, 2025

K. Additional criteria for Confidentiality.....28
L. Additional Criteria for Processing Integrity.....29
M. Additional Criteria for Privacy.....31

Trust Service Principles



A. Control Environment

| Principle | Controls |
|---|--|
| 1. Commitment to Integrity & Ethics COSO Principle 1: The entity demonstrates a commitment to integrity and ethical values. | Demonstrating Commitment Leading by example in decision-making and interactions, reinforcing ethical behavior through consistent actions. A formal Code of Conduct Management formally documents the code of conduct to communicate entity values and behavioral standards to personnel. Personnel are required to acknowledge the code of conduct upon hire and on an annual basis thereafter. |

Thanks to our customers,
SAI360 is a proud recipient
of Spring 2025 G2 badges.

Your feedback is appreciated.

To share yours, please visit
www.g2.com/products/sai360/reviews

Or scan the scan the below QR code:



Enterprise Ethics & Compliance Learning



Operational Risk Management



Business Continuity



THANK YOU!