SAI360
RISK FROM EVERY ANGLE

Thought Leader Global

GRC ENGAGE LONDON

Brought to you by SAI360 on May 22nd

as part of our global connect series

Benchmark with compliance, audit and risk leaders for a successful GRC and ethics strategy

Corporate Speakers

Elke Baumann, Global Head Ethics, Risk & Compliance Training & Development, **Novartis**

John Murphy, Director Ethics & Compliance, EME & Mongolia, **Rio Tinto**

Francois Benzakki, Internal Audit Director – Corporate Global Processes & EMEA, **EsillorLuxottica**

Anton Alferov, Regional Compliance Counsel for Europe, Eurasia and Sub-Saharan Africa, **Halliburton**

Alexandra Dobrovolskaja, Head of Compliance Group Functions, **Ericsson**

Carmen Ortiz de Ciolac, Senior Compliance Counsel - EMEA, **ASICS**

Tatiana Duran, Senior Legal Counsel, ABAC and HuRi, **ABB**

Christian Mühl, Senior Director Compliance**, GSK (tbc)**

Marie-Laure Pedamon, Compliance Director – Business Group Compliance Lead, **Nokia (tbc)**

Event Topics Include

Novartis From GRC to ERC - the Role of Ethics in an Integrated Governance, Risk and Compliance System

Rio Tinto Responding to Regulatory Inquiries & How This Impacted our Compliance Remediation Story

EsillorLuxxotica Risk Appetite and Risk Culture: Moving from Theory to Practice

Halliburton Internal Investigations: GRC Resource Allocation

Ericsson Addressing Conflicts of Interest

ASICS A Centralised ESG Monitoring System

ABB Compliance Controls: what are the Controls that Compliance Complements to Ensure that Processes Address the Risks

Agenda

09.00 – Opening Remarks from the Chair

09.15 - **From GRC to ERC - the Role of Ethics in an Integrated Governance, Risk & Compliance System**
A holistic Governance, Risk and Compliance system in corporations is more needed than ever - but we also have to focus on the ethical climate in organizations and discuss tangible steps how to address ethical dilemmas and to create a speaking up culture
Elke Baumann, Global Head Ethics, Risk & Compliance Training & Development, Novartis

10.00 – **Responding to regulatory inquiries & how this impacted our compliance remediation story**
• Moving from decentralised compliance structure to centralised model (including why we did it)
• Impact of independent reviews on the maturity of the Business Integrity Compliance Programme in year 1 and year 3
• Current state and ongoing challenges
John Murphy, Director Ethics & Compliance, EME & Mongolia Rio Tinto

10.45 – **Addressing Conflicts of Interest**
• Cases of discovering conflicts of interest among employee hires, 3rd parties and strategic alliance partners
• Implementing a conflict of interest disclosure system
• Innovative ways to uncover bias in decision-making in the business that is driven by a conflict of interest
Alexander Dobrovoskaja, Head of Compliance Group Functions, Ericsson

11.30 – Morning Coffee and Networking

12.00 – **A Centralized ESG Monitoring System**
• ESG topics are varied in nature and their development is most probably within the responsibility of stakeholders working for different divisions and or regions within an organization
• A centralized ESG system vs decentralized ESG working forces
• A centralized ESG monitoring system can significantly enhance an organization's ability to manage its ESG commitments effectively in terms of consistency, efficiency, visibility on compliance, stakeholder engagement and integration into the core business strategy
• The design, constitution and authority given to the centralized monitoring work task is crucial to reap the benefits that are envisioned
Carmen Ortiz de Ciolac, Senior Compliance Counsel - EMEA, ASICS

12.45 – **Compliance Controls**
what are the Controls that Compliance Complements to Ensure that Processes Address the Risks
Tatiana Duran, Senior Legal Counsel, ABAC and HuRi, ABB

13.30 – Lunch Break

14.30 – **Internal Investigations**
GRC Resource Allocation
Anton Alferov, Regional Compliance Counsel for Europe, Eurasia and Sub-Saharan Africa, Halliburton

15.15- **Managing Risks within your Third Party Processes** (Topic is reserved)

16.00 – **Risk Appetite and Risk Culture**
Moving from Theory to Practice
Francois Benzakki, Internal Audit Director – Corporate Global Processes & EMEA, EsillorLuxottica

16.45 – Afternoon Break

17.00 – Closing Remarks and End of Forum